

SIRT-TV 3D image reconstruction for a simulated muon tomography of the QinShiHuang tomb*

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Cosmic-ray muons are suitable for non-destructive imaging of large-scale objects. However, due to the low statistics of cosmic-ray muons and the complicated surroundings of transmission muography experiments, transmission muography often faces challenges such as noise and incomplete data, posing certain difficulties for 3D image reconstruction. This paper applies the SIRT-TV algorithm to muon tomography, conducting a 3D image reconstruction simulation study based on a QinShiHuang tomb phantom. The results indicate that compared to the conventional SIRT algorithm, the SIRT-TV algorithm can effectively suppress artifacts in the reconstructed image, allowing for a more accurate reconstruction of the underground palace. The geometric similarity of the walls reconstructed by SIRT-TV is nearly tripled compared to that of SIRT. This study also discusses the impact of TV-minimization algorithm parameters, and the measurement configurations and durations on the quality of the reconstructed image, providing a reference point for future experiments.

Keywords: Muography, Monte Carlo simulation, Image reconstruction

I. INTRODUCTION

Transmission muography, utilizing naturally existing cosmic-ray muons, images the internal structures of the objects penetrated by muons. Due to their high average energy (4 GeV at sea level [1]), cosmic-ray muons have strong penetrating power and nearly straight-line trajectories. Additionally, they are ubiquitous surrounding the earth. These characteristics make cosmic-ray muons particularly suitable for non-destructive imaging of large-scale objects, such as volcanoes [2–4], faults [5–7], mineral deposits [8–11], cultural heritage [12–16], and nuclear reactors [17–19].

The flux attenuation due to energy loss of muons interacting with matter is related both to the thickness and density of the material penetrated by the muons. By analyzing cosmic-ray muon flux detected from a single view and combining it with prior topographic information, the average density of the object along the line of sight can be obtained, allowing for the identification of density anomalies within the object. This kind of 2D transmission muography is called “muon radiography”. However, muon radiography has a limitation: the resulting density map is only a 2D projection. This would introduce more ambiguities when the internal structure of the object is complex, say, the density anomalies may overlap along the measurement direction. In such cases, the overlapping structures in the image obtained by muon radiography are degenerate. To alleviate this ambiguity, it is helpful to obtain 3D information of the object’s internal structure. At least two different views of cosmic-ray muon flux measurements are required to locate the 3D coordinates of density

anomalies. For example, in the ScanPyramids experiment of the Khufu Pyramid in 2017 [12], three sets of independent muon measurements were conducted. Each set of measurements were taken from two different positions so as to locate the hidden chamber with a triangulation analysis. The positions located by the three independent analyses agree with one another, thus confirming this discovery with high confidence. With muon flux data obtained from multiple views, it is possible to reconstruct the 3D density distribution of an object. This kind of 3D transmission muography is called “muon tomography”.

Constrained by the characteristics of cosmic-ray muons, muon tomography faces two major challenges. First, due to the relatively low flux of cosmic-ray muons, which is around $1 \text{ cm}^{-2} \cdot \text{min}^{-1}$ at sea level [1], the number of views is limited both by experimental time and the availability of muon detectors. Additionally, the choice of detector sites is constrained, given that the structure under test in transmission muography is often a large-scale object located in the field and the surroundings are complicated. Consequently, the problem of image reconstruction in muon tomography is often under-constrained, potentially leading to ambiguity results. Second, fluctuations in the cosmic-ray muon flux data can disturb the image reconstruction in muon tomography, including statistical fluctuations caused by the low statistics of cosmic-ray muons and directional fluctuations caused by multiple Coulomb scattering of muons penetrating objects [20].

To address the two challenges mentioned above, efforts can be made from two perspectives: combining cosmic-ray muon data with other types of geophysical data for joint inversion, and improving image reconstruction algorithms. From the perspective of joint inversion, both transmission muography and gravimetry are sensitive to the density of objects, making them naturally suitable for joint inversion; thus, muon data are often combined with gravity data for such inversions. Several studies have reported on the inversion results com-

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66 bining cosmic-ray muon data with gravity data. For instance,
 67 R. Nishiyama et al. combined single-view cosmic-ray muon
 68 data with gravity data obtained from 30 gravity stations to
 69 reconstruct the 3D density structure of the Showa-Shinzan
 70 lava dome. They also demonstrated through the inversion of
 71 synthesized data that the results of joint inversion using both
 72 gravity and cosmic-ray muon data had better resolution than
 73 those using only gravity data [21]. However, K. Jourde et
 74 al. noted in their study that when the object is covered by
 75 cosmic-ray muon data from more than two viewpoints, the
 76 improvement in image quality from adding gravity data is in-
 77 significant [22].

78 From the perspective of enhancing image reconstruction al-
 79 gorithms, it is important to establish robust algorithms with
 80 strong noise resistance, giving that the 3D image reconstruc-
 81 tion problem in muon tomography involves reconstructing
 82 images from noisy and incomplete data. Currently, the algo-
 83 rithms used for 3D image reconstruction in muon tomography
 84 can be divided into two main categories. The first category
 85 is the linear inversion method based on Bayesian principles,
 86 which is widely used in the inversion of geophysical mod-
 87 els. This method can be applied to the inversion of muon
 88 tomography. As an example, Shogo Nagahara et al. used
 89 linear inversion to reconstruct the 3D density distribution of
 90 the Omuroyama scoria cone from cosmic-ray muon data ob-
 91 tained from 10 viewpoints [23]. This method can also be used
 92 for the joint inversion of gravity data and cosmic-ray muon
 93 data, as demonstrated by Anne Barnoud et al., who used lin-
 94 ear inversion to reconstruct the 3D density structure of the
 95 Puy de Dôme volcano, using the joint inversion of single-
 96 view cosmic-ray muon data and gravimetric data acquired
 97 from 650 measurement points [24]. However, this method
 98 involves multiple a priori parameters, and the selection of
 99 these parameters directly affects the inversion results, so they
 100 need to be tuned carefully. Additionally, the density smooth-
 101 ing constraint used in the inversion may blur the boundaries
 102 of density anomaly regions [25]. The second category is al-
 103 gebraic reconstruction techniques, which are widely used in
 104 medical CT image reconstruction and are suitable for image
 105 reconstruction with incomplete and noisy data. Many studies
 106 have applied algebraic reconstruction techniques to muon
 107 tomography. Erlandson et al. simulated cosmic-ray muons
 108 passing through the ZED-2 research reactor, and conducted
 109 muon tomography reconstruction using the ART (Algebraic
 110 Reconstruction Technique) algorithm [26]. S. Procureur used
 111 the SART (Simultaneous ART) algorithm to reconstruct the
 112 image for the simulated muon tomography of a concrete cube
 113 with cavities [27]. K. Hartling et al. simulated a 12-view
 114 muon tomography for a cylindrical model containing a ura-
 115 nium rod and compared various iterative reconstruction algo-
 116 rithms, concluding that the SIRT (Simultaneous Iterative Re-
 117 construction Technique) is a suitable algorithm for muon to-
 118 mography reconstruction [28]. Compared to the classic ART
 119 algorithm, the SIRT algorithm can better suppress noise.

120 Additional information can be incorporated into the SIRT
 121 algorithm to further improve the reconstructed image qual-
 122 ity. One very effective constraint is total-variation (TV) min-
 123 imization. It is demonstrated that signals or images that

124 have transform sparsity can be accurately reconstructed from
 125 a small number of samples by minimizing the L1-Norm
 126 of the transform coefficients [29–31]. For piecewise con-
 127 stant images, their gradient-magnitude images meet the trans-
 128 form sparsity condition, and TV is the L1-Norm of gradient-
 129 magnitude image. Therefore, TV-minimization-based image
 130 reconstruction algorithms may achieve high-precision recon-
 131 struction from a limited number of views [32, 33]. The den-
 132 sity distribution of large cultural heritage structures, such as
 133 the Khufu Pyramid, is piecewise constant, making them suit-
 134 able for TV-minimization. Therefore, combining SIRT with
 135 TV-minimization could potentially enhance the image quality
 136 of muon tomography reconstruction for such cases.

137 In 2022, our group reported on the preliminary results of a
 138 cultural heritage muon radiography simulation study based on
 139 the archaeological data of the QinShiHuang tomb. The study
 140 demonstrated that muon radiography could identify the in-
 141 ner structures of the underground palace, including the tomb
 142 chamber, walls, and rammed earth. From the muon radiog-
 143 raphy simulation results obtained from two viewpoints, the
 144 length, width, and burial depth of the tomb chamber were es-
 145 timated [34]. Based on the previous research, this paper car-
 146 ries out 3D image reconstruction for the muon tomography of
 147 QinShiHuang tomb. A digital phantom, built based on the ar-
 148 chaeological data of the QinShiHuang tomb, is used for trans-
 149 mission muography simulation in Geant4, and to test the im-
 150 age reconstruction algorithm. The SIRT-TV algorithm, which
 151 combines SIRT and TV-minimization, is applied to the image
 152 reconstruction of a 12-view muon tomography. This paper is
 153 organized as follows: Section II of the paper introduces the
 154 principles of transmission muography and the SIRT-TV algo-
 155 rithm. Section III describes the simulation setup, and presents
 156 the 2D slices of reconstructed images using the SIRT-TV and
 157 the SIRT algorithms. The slices reconstructed with the two
 158 algorithms, under the same number of iterations, are com-
 159 pared visually. Section IV evaluates the reconstructed image
 160 quality based on the geometry similarity index for structures
 161 of the underground palace, providing a quantitative compar-
 162 ison of SIRT and SIRT-TV. This section also discusses some
 163 factors that may influence the reconstructed image quality, in-
 164 cluding the value of TV-minimization algorithm parameters,
 165 the number of views in muon tomography, and the statistics
 166 of muon data. The final section concludes this paper.

II. METHODS

A. Principles of muon radiography

169 Muons, while passing through an object, interact with mat-
 170 ter and lose energy through ionization, bremsstrahlung, pair
 171 production, and photonuclear reactions. The energy loss be-
 172 havior can generally be described as:

$$173 \quad -\frac{dE}{dX} = a + bE, \quad (1)$$

174 where a represents the ionization term, b is the sum of the
 175 other three radiation loss terms. Both a and b depend on the

176 muon's energy and the composition of the material. Their val-
 177 ues can be obtained from the tables provided by the Groom et
 178 al [35]. $X = \int \rho dl$ is the integral of the object's density along
 179 the muon's path, referred to as the object's density length. If
 180 a muon with energy E_{\min} loses all its energy after penetrating
 181 an object with density length X_1 , then X_1 can be expressed
 182 as,

$$183 \quad X_1 = \int_{E_\mu}^{E_{\min}} -\frac{dX}{dE} dE, \quad (2)$$

184 where E_μ is the rest mass of the muon. Combining Eqs. (1)
 185 and (2), the value of X_1 can be numerically calculated. Alter-
 186 natively, Monte Carlo simulations can be used to determine
 187 the maximum density length that muons of different energies
 188 can penetrate. By fitting the simulation results, a fitted for-
 189 mula that describes the relationship between X_1 and E_{\min}
 190 can be obtained. E_{\min} can be determined from the measured
 191 cosmic-ray muon flux I , which can be expressed as the inte-
 192 gral of the differential energy spectrum $\phi(E)$:

$$193 \quad I = \int_{E_{\min}}^{+\infty} \phi(E) dE. \quad (3)$$

194 Unlike traditional imaging techniques that use artificial radi-
 195 ation sources, transmission muography uses naturally exist-
 196 ing radiation sources whose energy spectrum $\phi(E)$ cannot be
 197 manually modulated. Moreover, since the targets in trans-
 198 mission muography experiments are usually large, it is im-
 199 practical to use detectors to measure the cosmic-ray muon en-
 200 ergy spectrum $\phi(E)$ in real-time before the muons penetrate
 201 the target. Nonetheless, theoretical studies and experimen-
 202 tal measurements show that the sea-level cosmic-ray muon
 203 energy spectrum is relatively stable and can be reliably mod-
 204 uled. The angular distribution of sea-level cosmic-ray muons
 205 is roughly $\propto \cos^2 \theta$, where θ is the zenith angle of the cosmic-
 206 ray muons. Their energy spectrum nearly follows a power law
 207 with a negative exponent. Therefore, the transmitted muon
 208 flux mainly consists of muons with energy near E_{\min} . Clas-
 209 sic formulas such as Gaisser/Tang and Reyna are commonly
 210 used to describe the cosmic-ray muon energy spectrum at sea
 211 level, as they provide reliable descriptions in the energy range
 212 most relevant to transmission muography [36].

213 Combining Eqs. (1)–(3) and the sea-level cosmic-ray muon
 214 energy spectrum model, the density length of the object in dif-
 215 ferent directions can be obtained, resulting in a 2D projection
 216 map of the object's density length X .

B. 3D image reconstruction of muon tomography

218 Based on the 2D projection map of X obtained in Section
 219 II A, the 3D density distribution can be solved for. Let m de-
 220 note the number of directions. Dividing the 3D region of in-
 221 terest (ROI) uniformly into n voxels, the integral $X = \int \rho dl$
 222 can be discretized as:

$$223 \quad x_i = \sum_{j=1}^n l_{i,j} \rho_j, \quad i = 1, \dots, m, \quad (4)$$

224 where x_i represents the density length along the i -th ray, ρ_j
 225 represents the density of the j -th voxel, and $l_{i,j}$ represents the
 226 intersection length of the i -th ray within the j -th voxel. The
 227 relationship between the density lengths along different direc-
 228 tions and the density distribution can be expressed as a system
 229 of linear equations:

$$230 \quad \mathbf{X} = \mathbf{L} \boldsymbol{\rho}, \quad (5)$$

231 where $\mathbf{X} = (x_1, x_2, \dots, x_m)^T$ represents the density lengths
 232 of the object along different directions, $\mathbf{L} = \{l_{i,j}\}$ represents
 233 the intersection length of rays within the voxels along dif-
 234 ferent directions, and $\boldsymbol{\rho} = (\rho_1, \rho_2, \dots, \rho_n)^T$ represents the
 235 densities of the voxels.

236 Since the density length data is obtained from the cosmic-
 237 ray muon flux data, which is usually noisy and incomplete,
 238 the linear equations in Eq. (5) face issues such as being under-
 239 determined and inconsistent, and having a large sparse system
 240 matrix. Algebraic reconstruction techniques are commonly
 241 used methods for solving such problems. The most basic al-
 242 gorithm in algebraic reconstruction techniques is the ART al-
 243 gorithm [37], which is based on the Kaczmarz optimization
 244 algorithm. The principle of ART can be understood as grad-
 245 ually projecting the trial solution onto the hyperplanes deter-
 246 mined by each equation to obtain a new solution. If the sys-
 247 tem of equations has a unique solution, the trial solution will
 248 iteratively converge to that solution. In every iteration of the
 249 ART algorithm, each equation of Eq. (5) updates $\boldsymbol{\rho}$ once. This
 250 equation-by-equation update method is sensitive to noise and
 251 can even cause divergence if the noise is severe. To suppress
 252 the noise, the SIRT algorithm was developed based on the
 253 basic ART algorithm [38]. In one iteration of the SIRT algo-
 254 rithm, the contribution of each equation to $\boldsymbol{\rho}$ is first calculated
 255 without updating $\boldsymbol{\rho}$. After going through all the equations, the
 256 contributions of all the equations are averaged and then $\boldsymbol{\rho}$ is
 257 updated accordingly. The update equation of SIRT is:

$$258 \quad \rho_{\text{SIRT},j}^k = \rho_{\text{SIRT},j}^{k-1} + \lambda \frac{\sum_{i=1}^m L_{i,j} \frac{X_i - L_i \rho^{k-1}}{\sum_{j=1}^n L_{i,j}}}{\sum_{i=1}^m L_{i,j}}, \quad (6)$$

259 where k represents the number of iterations, and λ is used to
 260 control the iteration step size and is usually set to 1.

261 Both ART and SIRT solve the reconstruction problem
 262 solely based on the projection data \mathbf{X} , without introducing
 263 any additional constraints. However, muon tomography of-
 264 ten lacks sufficient views, resulting in the ill-posedness of
 265 the image reconstruction. To further improve the quality of
 266 reconstructed image, it is necessary to introduce additional
 267 constraints to the reconstruction procedure. We observe that
 268 the density distribution of the targets in muon tomography
 269 typically exhibits piecewise constancy, meaning its gradient
 270 magnitude image is sparse. This makes it suitable to use TV
 271 minimization as a constraint.

272 Let the voxel density at coordinates (r, s, t) be $\rho_{r,s,t}$. Then,
 273 the total variation of the density image is:

$$\begin{aligned}
\|\rho\|_{\text{TV}} &= \sum_{r,s,t} |\nabla \rho_{r,s,t}| \\
&= \sum_{r,s,t} \sqrt{(\rho_{r,s,t} - \rho_{r-1,s,t})^2 + (\rho_{r,s,t} - \rho_{r,s-1,t})^2 + (\rho_{r,s,t} - \rho_{r,s,t-1})^2}.
\end{aligned} \tag{7}$$

274 Using the gradient descent algorithm to solve the TV-
275 minimization problem, let

$$277 \quad \rho_{\text{TV}}^0 = \rho_{\text{SIRT}}^k,$$

$$279 \quad d\rho = \|\rho_{\text{SIRT}}^k - \rho_{\text{SIRT}}^{k-1}\|_2,$$

$$281 \quad \vec{v}^{k'} = \nabla_{\rho} \|\rho_{\text{TV}}^{k'}\|_{\text{TV}},$$

282 and

$$283 \quad \hat{v}^{k'} = \vec{v}^{k'} / |\vec{v}^{k'}|,$$

284 for $k' = 1, 2, \dots, N_{\text{TV}}$, do TV gradient descent:

$$285 \quad \rho_{\text{TV}}^{k'} = \rho_{\text{TV}}^{k'-1} - \alpha \cdot d\rho \cdot \hat{v}^{k'-1}.$$

286 where α controls the TV gradient descent step size, and
287 the total number of gradient descent iterations is controlled
288 by N_{TV} . In Ref. [32] TV-minimization is combined with
289 ART, but considering that data noise is more significant in
290 muon tomography, this paper opts to combine SIRT with TV-
291 minimization for image reconstruction. The SIRT-TV proce-
292 dure terminates when the number of iterations reaches N_{max} .
293 The overall process of muon tomography image reconstruc-
294 tion in this work is shown in Fig. 1. Note that after the SIRT-
295 step in each iteration k , a non-negative constraint is applied
296 to ρ_{SIRT}^k . Any additional prior information about ρ can also
297 be incorporated at this step.

298 III. SIMULATION AND RECONSTRUCTION

299 A. Muography simulation

300 The simulation is performed using Geant4, a Monte Carlo
301 toolkit developed by CERN based on C++ [39]. Geant4 can
302 simulate the transport and interactions of particles in matter,
303 and is a commonly used and reliable simulation platform for
304 transmission muography. The simulation model can be di-
305 vided into three parts: the QinShiHuang tomb phantom, the
306 muon track detector, and the cosmic-ray muon source.

307 The QinShiHuang tomb has been studied using 20 geo-
308 physical approaches as part of the national 863 Hi-tech
309 project. The archaeological results confirmed the depth of
310 the underground palace, as well as the size and location of
311 the tomb chamber and the surrounding walls [40]. Based
312 on these archaeological data, we built a phantom model of
313 the QinShiHuang tomb in our simulation, which includes the

314 mound, rammed earth, loam wall, stone wall, burial chamber,
315 and surrounding land, as shown in Fig. 2.

316 The cosmic-ray muon source is sampled with the Reyna
317 formula [41]:

$$318 \quad \phi(p, \theta) = c_1 \cos^3 \theta (p^*)^{-[c_2 + c_3 \log_{10}(p^*) + c_4 \log_{10}^2(p^*) + c_5 \log_{10}^3(p^*)]}, \tag{13}$$

319 where θ is the zenith angle of cosmic-ray muons, p is the
320 momentum of cosmic-ray muons in GeV/c, $p^* = p \cos \theta$,
321 $c_1 = 0.00253$, $c_2 = 0.2455$, $c_3 = 1.288$, $c_4 = -0.2555$,
322 and $c_5 = 0.0209$. This formula is suggested for a momentum
323 range of $1 \text{ GeV/c} < p < 2000/\cos \theta \text{ GeV/c}$ and a zenith
324 angle range of $0^\circ \leq \theta \leq 90^\circ$.

325 The coordinate system is defined as shown in Fig. 2(b-d).
326 The muon track detector is set as a $1 \text{ m} \times 1 \text{ m}$ ideal detec-
327 tor placed horizontally, recording the direction of cosmic-ray
328 muons passing through it. To avoid interfering with the struc-
329 ture of the underground palace, the selected detector positions
330 are 5 m away from the boundaries of the underground palace.
331 12 detector locations are selected, evenly spaced at an an-
332 gle of 30° surrounding the center of the underground palace,
333 numbered 1 to 12, as shown in Fig. 2(b). Since the incident
334 directions of the cosmic-ray muons are always downward, de-
335 tectors must be positioned lower than the underground palace
336 to ensure that their field of view can fully cover the ROI.
337 It is important to select a suitable depth for the detector, as
338 the angular size of the ROI, the thickness of the overburden
339 above the detector, and the zenith angle of the detected muons
340 traversing the ROI all come into play. We set the detectors'
341 burial depth to 90 m, as shown in Fig. 2(c). At this depth,
342 the maximum zenith angle of the detected muons crossing
343 the ROI is no more than 75° . To reduce simulation time,
344 we referred to the method in Reference [42] to determine the
345 minimum energy of muons that can penetrate the phantom
346 and reach the detector. Only muons with energy exceeding
347 this minimum energy are sampled. The simulated cosmic-ray
348 muon statistics for a single view are equivalent to a 180-day
349 physical measurement.

350 B. Image reconstruction

351 Since the tomb phantom is much larger than the detectors,
352 the detectors are treated as points for the calculation of muon
353 flux and density length maps. The simulated cosmic-ray
354 muon fluxes after penetrating the QinShiHuang tomb phan-
355 tom, as detected by each detector, are divided into 75×180
356 directions, according to their zenith and azimuth angles, as
357 shown in Fig. 3. The direction of each pixel in Fig. 3 is repre-
358 sented by its center coordinate. The minimum energy E_{min} of
359 cosmic-ray muons penetrating the phantom can be calculated

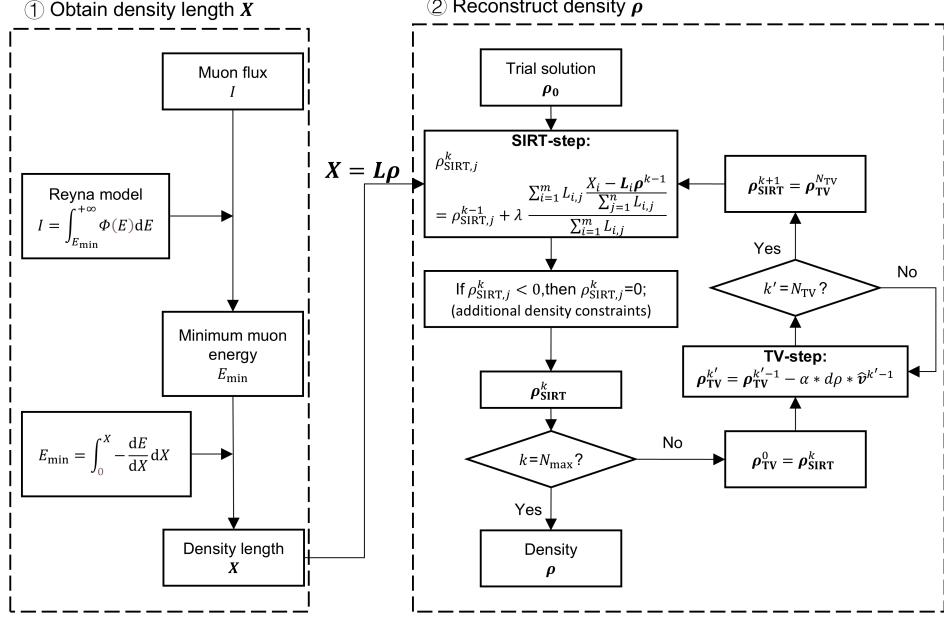


Fig. 1. Flowchart of muon tomography image reconstruction using the SIRT-TV algorithm.

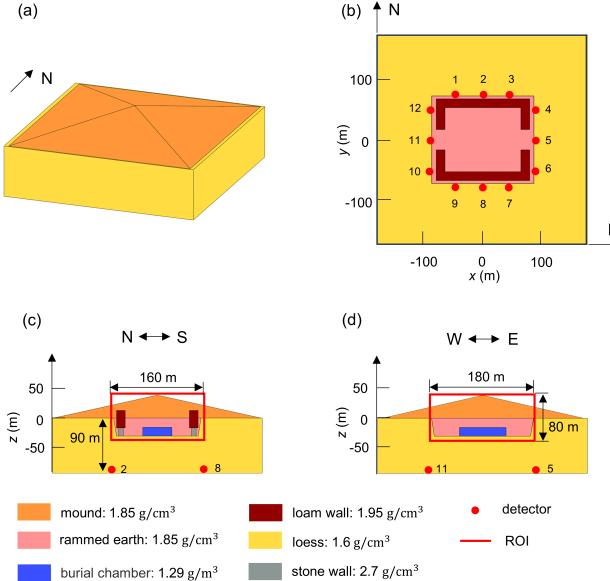


Fig. 2. Schematic of the QinShiHuang tomb phantom. (a) 3D view of the phantom. (b) Cross-section view of the phantom at $z = 0$, with red dots indicating the projections of the detector positions on the cross-section. (c) Cross-section view of the phantom at $x = 0$. (d) Cross-section view of the phantom at $y = 0$. The rectangles in (c) and (d) represent the ROI for 3D image reconstruction.

using Eq. (3). For the conversion to the density length, this paper uses Geant4 to simulate the average penetration range of muons with different energies in loess, with the simulation

363 results shown in Fig. 4. A second-order polynomial is fitted
364 to the curve in Fig. 4.

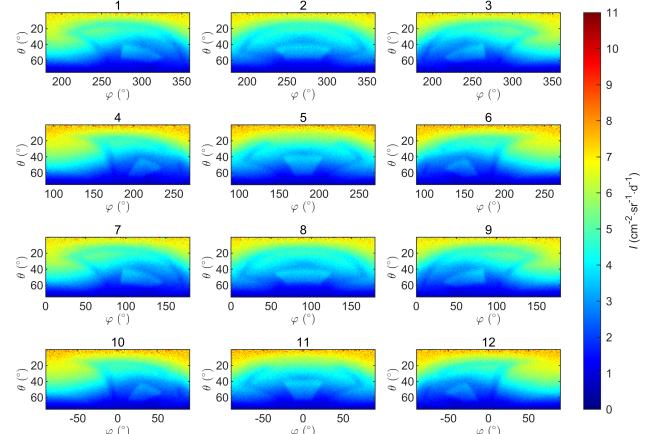


Fig. 3. Simulated cosmic-ray muon fluxes penetrating the QinShiHuang tomb phantom, measured by 12 detectors using Geant4. The numbers above the figures correspond to the detector IDs in Fig. 2. θ and φ represent the zenith and azimuth angles of the incident muons in the horizontal coordinate system.

365 Since we are primarily interested in the structure of the un-
366 derground palace, we define the ROI as shown in Fig. 2(c)
367 and 2(d) and perform 3D image reconstruction only for the
368 density distribution within the ROI. The density length data
369 obtained from different directions in the simulation include
370 contributions from both inside and outside the ROI. For the
371 tomb phantom, the density distribution outside the ROI is

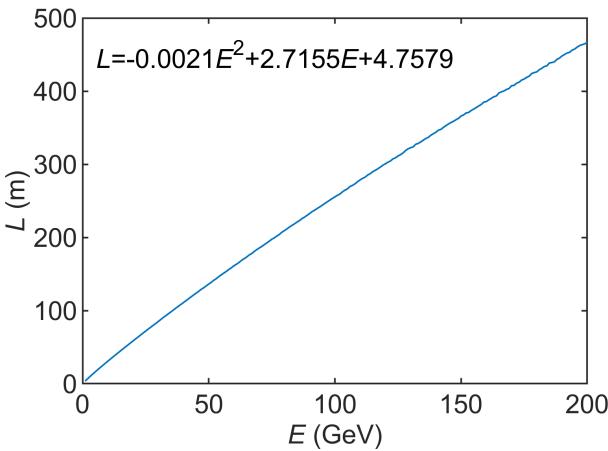


Fig. 4. The average penetration range of muons in loess as a function of muon energy obtained from Geant4 simulations.

known, allowing us to directly calculate the density length outside the ROI. By subtracting the density length outside the ROI from the obtained density length data, we get the density length only within the ROI, as shown in Fig. 5. Note that some directions in the figure have negative density length values due to fluctuations in cosmic-ray muon flux. Only non-negative density length values are used in the image reconstruction process.

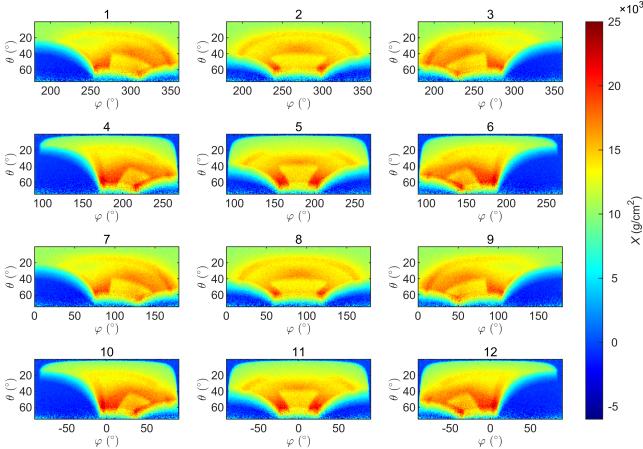


Fig. 5. Density length maps of the tomb phantom ROI converted from the 12-view simulated muon fluxes.

In 3D image reconstruction, the ROI is divided into $60 \times 50 \times 20$ voxels, resulting in a voxel size of $3 \text{ m} \times 3.2 \text{ m} \times 4 \text{ m}$. This voxelization ensures that the number of voxels will not be too large to solve the linear equations in Eq. (5), and that the voxel size will not be too large to reconstruct the fine structures in the underground palace. Both SIRT and SIRT-TV are used to reconstruct images from the same density length data, so as to compare their reconstruction results. Following the parameter values from Ref. [32], we set $\lambda = 1$,

$\alpha = 0.2$, and $N_{\text{TV}} = 20$. The initial density values for iteration are set to $\rho_0 = 1.6 \text{ g/cm}^3$, which are the same as the loess density in the phantom. Both algorithms are iterated $N_{\text{max}} = 50$ times. An additional constraint is incorporated into each iteration of the algorithms introduced in Section II B, restricting the voxel density outside the burial mound to 0.00129 g/cm^3 , which is the same as the air density in the phantom. Slices of the reconstructed images at three representative locations are selected for comparison with the phantom slices, as shown in Fig. 6.

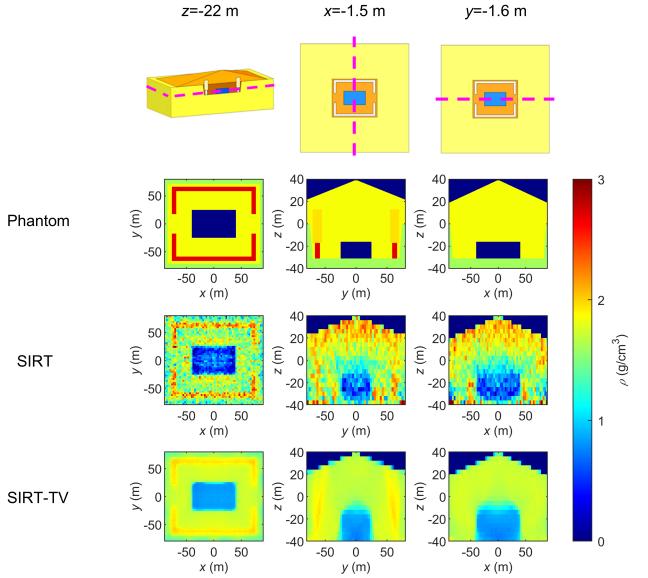


Fig. 6. Comparison of the slices of 3D density distribution between the phantom and the reconstruction results of SIRT and SIRT-TV. The dashed lines indicate the locations of the slices.

Comparing the slices of the reconstructed images obtained by the two algorithms with those of the phantom, it can be observed that the shapes and positions of the tomb chamber and walls in the SIRT-TV reconstruction result match the phantom. In contrast, the slices obtained using the SIRT algorithm exhibit significant salt-and-pepper artifacts, particularly notable at the bottom of the reconstructed image, around the tomb chamber, and at the top of the burial mound. These artifacts could potentially lead to misinterpretations of the underground palace's structure. The reconstruction result obtained using SIRT-TV does not show such significant artifacts, preliminarily verifying that the inclusion of TV-minimization can effectively suppress artifacts.

IV. DISCUSSION

A. Reconstructed image quality assessment

In Fig. 6, a visual comparison of the reconstructed images using SIRT and SIRT-TV is presented. However, the visual comparison lacks quantitative information and is noncompre-

hensive since the reconstructed images are 3D. To quantitatively compare the reconstruction results of SIRT and SIRT-TV, it is necessary to use appropriate image quality assessment metrics. Classic metrics include mean squared error (MSE), peak signal-to-noise ratio (PSNR), and structural similarity (SSIM) [43]. These metrics can evaluate the overall similarity between the reconstructed image and the phantom. However, in this study, we are more concerned with whether the structures of the underground palace, namely the tomb chamber and walls, can be properly reconstructed. Referring to the image quality assessment metrics used in Ref. [28], we use the Jaccard similarity index J to assess the geometric similarity of the reconstructed images to the phantom for the tomb chamber and walls. The definition of J is given by:

$$J(P, R) = \frac{|P \cap R|}{|P \cup R|}, \quad (14)$$

where P represents the set of voxels belonging in the tomb chamber or walls in the phantom, and R represents the set of voxels belonging in the same structure in the reconstructed image. The term $|P \cap R|$ denotes the number of elements in the intersection of P and R , while $|P \cup R|$ denotes the number of elements in the union of P and R . A larger $J(P, R)$ indicates a higher geometric similarity, and when $J(P, R) = 1$, it signifies that the geometric structures of the phantom and the reconstructed image are identical. When calculating $J(P, R)$ for the reconstructed images in Section III, we face the issue of inconsistent voxel segmentation between the phantom and the reconstructed images, since the phantom established in Geant4 is not voxelized. To address this problem, we modify Eq. (14). We define a vector p representing the proportion of overlap between the voxels defined in the reconstructed images and the corresponding structure in the phantom. Consequently, the element p_i corresponding to the i -th voxel can take on three types of values:

$$p_i = \begin{cases} 0 & \text{voxel outside the corresponding structure,} \\ 1 & \text{voxel inside the corresponding structure,} \\ q & \text{voxel partially overlaps with the corresponding structure with a proportion of } q. \end{cases} \quad (15)$$

Define a vector r to represent whether each voxel belongs in the structure in the reconstructed image. The element r_i corresponding to the i -th voxel can take on two values:

$$r_i = \begin{cases} 0 & \text{voxel outside the corresponding structure,} \\ 1 & \text{voxel inside the corresponding structure.} \end{cases} \quad (16)$$

Therefore, Eq. (14) can be redefined as:

$$J(\mathbf{p}, \mathbf{r}) = \frac{\sum_{i=1}^n p_i \cdot r_i}{\sum_{i=1}^n p_i + \sum_{i=1}^n r_i - \sum_{i=1}^n p_i \cdot r_i}. \quad (17)$$

In the phantom established in this study, there are partial overlaps between the voxels and the corresponding structure, so the maximum value of $J(\mathbf{p}, \mathbf{r})$ cannot reach 1. When cal-

culating r , image segmentation is necessary to extract the tomb chamber and walls structures from the reconstructed images. Since the density of the tomb chamber and the density of the walls correspond to the minimum and maximum densities in the phantom, respectively, image segmentation can be performed using density thresholds for these two structures. The structures are extracted based on whether the voxel density values fall within the specified density ranges. For the tomb chamber:

$$r_i^{\text{chamber}} = 1, \text{ if } \rho_i < \text{threshold of chamber and } z < 0. \quad (18)$$

And for the walls:

$$r_i^{\text{wall}} = 1, \text{ if } \rho_i > \text{threshold of wall}. \quad (19)$$

Ideally, the thresholds should be set according to the density values of the structures in the phantom. However, due to the differences between the densities in the reconstructed images and the phantom—especially since the densities of the tomb chamber in the reconstructed images are much higher than the density of air in the phantom—an optimization approach is used to find the most suitable threshold for image segmentation. Considering that the density of the chamber is lower than that of loess, while the density of the walls is higher than that of loess, the density threshold for the tomb chamber is searched within the range of $(0, 1.6 \text{ g/cm}^3]$ and the density threshold for the walls is searched within the range of $(1.6 \text{ g/cm}^3, 2.7 \text{ g/cm}^3]$, with a step size of 0.1 g/cm^3 . For each density threshold, J is calculated, and the highest J is taken as the geometric similarity of the reconstructed image, denoted as J_{chamber} for the tomb chamber and J_{wall} for the walls. The thresholds are searched for the reconstructed images obtained in Section III. The voxel sets belonging in the tomb chamber and walls in the reconstructed images are extracted according to the density thresholds with the highest J , and the voxel positions obtained from image segmentation are shown in Fig. 7.

Both the wall and tomb chamber geometries in the SIRT reconstruction result show noticeable overflow compared to the phantom. In contrast, their counterparts extracted from the SIRT-TV reconstructed image align better with the phantom. Table 1 provides the J calculation results for structures in the reconstructed images obtained by the two algorithms. Both J_{chamber} and J_{wall} in the SIRT-TV reconstruction result are superior to those obtained with SIRT. Particularly, J_{wall} is 2.8 times that of SIRT.

TABLE 1. J_{chamber} and J_{wall} of the reconstructed images obtained using SIRT and SIRT-TV.

Algorithm	J_{chamber}	J_{wall}
SIRT-TV	0.5929	0.5498
SIRT	0.4611	0.1978

B. Iterative convergence

The convergence speed is an important metric for evaluating iterative image reconstruction algorithms. In Section

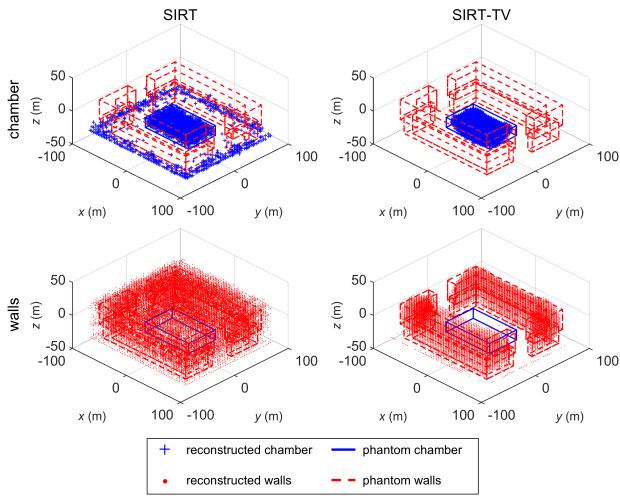


Fig. 7. Comparison between the structures extracted from reconstructed images obtained using two algorithms and the structures in the phantom, based on the best density thresholds. The blue crosses and red dots represent the voxel positions of the chamber and the walls in the reconstructed images, respectively. The blue box outlines the boundaries of the tomb chamber in the phantom, and the red box outlines the boundaries of the walls.

IV A, the J_{chamber} and J_{wall} of the reconstruction results are compared after the same number of iterations for both algorithms, but the number of iterations required for each algorithm to converge may differ. To compare the convergence speeds of the two algorithms, both algorithms were iterated 150 times, and the curves showing the changes in J_{chamber} and J_{wall} with the number of iterations are plotted in Fig. 8. The J_{chamber} and J_{wall} of SIRT-TV generally increase with the number of iterations until convergence, whereas those for SIRT initially increase but then decrease with additional iterations before convergence.

To quantitatively evaluate the convergence speed, we define a convergence metric:

$$\Delta J/J = \frac{|J^{k+1} - J^k|}{J^k} < \varepsilon, \quad (20)$$

where k represents the number of iterations, and ε is the threshold for convergence. The $\Delta J/J$ values for both algorithms as functions of iterations are plotted in Fig. 8. It can be seen that $\Delta J/J$ for both algorithms fluctuate with increasing iteration numbers while converging. These fluctuations are due to the discreteness of structure thresholds and voxelization. With ε set to 0.002, J_{chamber} and J_{wall} for SIRT-TV converge after 36 and 24 iterations, respectively, whereas those of SIRT have not yet converged. This indicates that SIRT-TV reaches convergence faster than SIRT. The faster convergence of SIRT-TV is due to the effective constraint of the TV-minimization on the reconstruction procedure. For the SIRT algorithm, the convergence speeds of J_{chamber} and J_{wall} are different because of the structural differences between the tomb chamber and walls: the tomb chamber is a

relatively large cubic volume, while the walls are more complex and thinner.

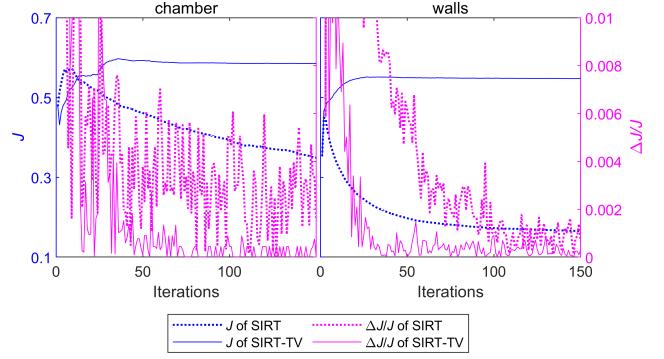


Fig. 8. J and $\Delta J/J$ of the reconstructed images obtained by SIRT and SIRT-TV as functions of iterations.

C. Impact of TV parameters on reconstruction

The values of the parameters in the TV-minimization algorithm may affect the quality of the reconstructed images. To assess this impact, we vary the maximum iteration number N_{TV} and the TV gradient descent step size α . J_{chamber} and J_{wall} as functions of iterations for different parameter values are shown in Fig. 9.

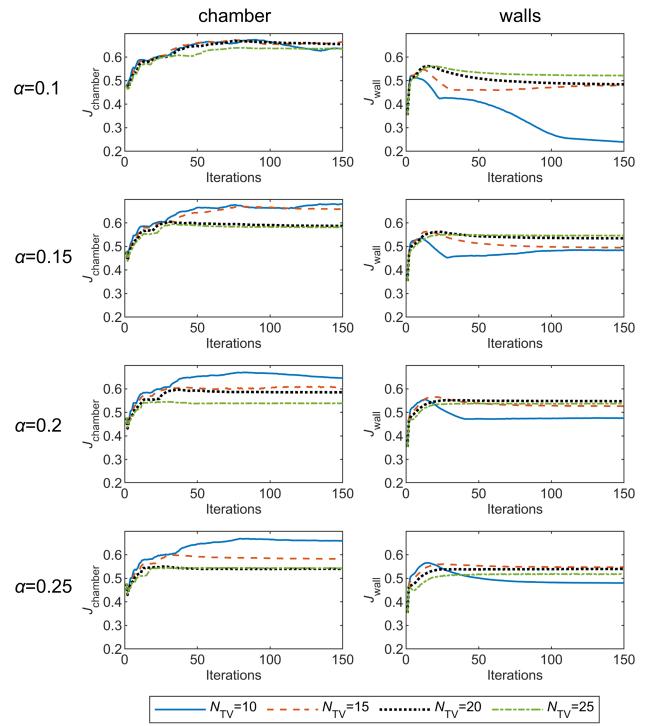


Fig. 9. J_{chamber} and J_{wall} of reconstructed images obtained under different TV parameters, as functions of iterations.

544 For most (N_{TV}, α) combinations, convergence is achieved
 545 within 50 iterations, indicating that choosing a maximum it-
 546 eration number $N_{max} = 50$ as the termination criterion for
 547 SIRT-TV is reasonable. For J_{wall} , when $N_{TV} = 10$ and
 548 $\alpha = 0.1$, the generally trend with increasing iterations is sim-
 549 ilar to that of SIRT, and there is still a downward trend after
 550 150 iterations. This indicates that the TV-minimization al-
 551 gorithm with these parameter values does not effectively im-
 552 prove reconstructed image quality. With different α values,
 553 J_{wall} is always the lowest when $N_{TV} = 10$. Unlike J_{wall} ,
 554 for different α values (except $\alpha = 0.1$), $J_{chamber}$ is always
 555 the highest when $N_{TV} = 10$, and remains above 0.5 regard-
 556 less of the parameter values. This suggests that compared to
 557 the walls, the reconstruction of the tomb chamber does not
 558 require a stringent TV constraint.

559 Overall, the different parameter values in Fig. 9 (except
 560 $N_{TV} = 10$ or $\alpha = 0.1$) do not significantly affect the recon-
 561 struction results, indicating that the SIRT-TV algorithm can
 562 reliably produce high-quality images.

563 D. Impact of measurement configuration and duration

564 As mentioned in Section I, the main challenges in 3D re-
 565 construction of muon tomography lie in the presence of noise
 566 and incomplete data. In Section III, to test the feasibility of
 567 the algorithm, we simulated relatively ideal conditions. This
 568 section discusses the impact of less ideal measurement con-
 569 figurations and durations, i.e., fewer views and lower muon
 570 statistics, on the reconstruction with SIRT-TV.

571 1. Measurement configuration

572 Using the same simulation data from Section III, the num-
 573 ber of views is reduced to 4, and three different detector con-
 574 figurations are selected for reconstruction. The parameters of
 575 the image reconstruction algorithm remain the same as those
 576 in Section III. Fig. 10 shows the slices of the phantom, and
 577 reconstructed images for the 12-view configuration and three
 578 4-view configurations. It can be seen that the reduction in
 579 the number of views leads to an increase in artifacts. Among
 580 these 4-view configurations, the symmetrical 4-view configu-
 581 ration shows better geometric symmetry in its reconstruction
 582 result, while the reconstruction results for the other two 4-
 583 view configurations exhibit distortions in the shapes of the
 584 tomb chamber and walls. The calculation results of $J_{chamber}$
 585 and J_{wall} in Table 2 also indicate that, among the three dif-
 586 ferent 4-view configurations, the reconstructed image quality
 587 is highest for the symmetrical 4-view configuration. These
 588 artifacts can be explained by the ray densities in the vox-
 589 els. Given that the voxelization for each reconstruction is the
 590 same, more views will lead to more rays, higher ray densi-
 591 ties in the voxels, and more information about the voxel den-
 592 sity. When voxelization and the number of views is the same
 593 for each reconstruction, asymmetrical measurement config-
 594 urations will cause greater variation in ray densities across
 595 voxels. This means that some voxels will be crossed by only a

596 few rays, making their densities difficult to reconstruct. Sym-
 597 metrical measurement configuration results in better recon-
 598 struction quality.

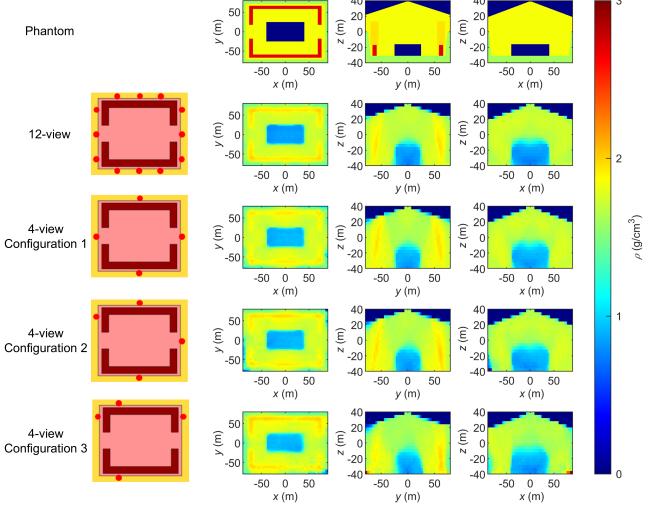


Fig. 10. Slices of the phantom, and reconstructed images for the 12-view configuration and three 4-view reconstructions.

TABLE 2. $J_{chamber}$ and J_{wall} of the images obtained from the 12-view reconstruction and three 4-view reconstructions.

Measurement configuration	$J_{chamber}$	J_{wall}
12-view	0.5929	0.5498
4-view Configuration 1	0.5161	0.4552
4-view Configuration 2	0.4818	0.4452
4-view Configuration 3	0.4576	0.3777

599 2. Measurement duration

600 The number of cosmic-ray muons detected by the detectors
 601 is proportional to the measurement duration. It affects the sta-
 602 tistical fluctuations, which in turn impacts the reconstructed
 603 image quality. To investigate the reconstructed image quality
 604 under different measurement durations, we select data sets
 605 from the beginning of the simulation data in Section III, each
 606 corresponding to 7, 14, 30, 90, and 180 days of equivalent
 607 measurement time. The algorithm parameters are the same as
 608 those in Section III. The slices of the reconstructed images
 609 are shown in Fig. 11.

610 It can be observed that in the reconstructed image slices
 611 corresponding to measurement durations of 7 days and 14
 612 days, there are severe artifacts between the chamber and the
 613 walls, particularly at the bottom of the reconstructed images.
 614 As the measurement duration exceeds 30 days, the artifacts
 615 are effectively suppressed, and the boundary between the
 616 chamber and the rammed earth becomes relatively clear. The
 617 reconstructed image slices for measurement durations of 90

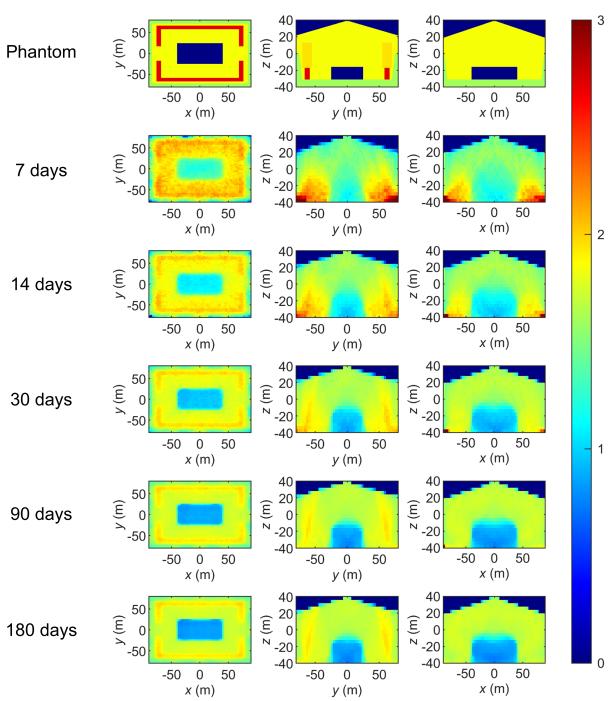


Fig. 11. Slices of the phantom and SIRT-TV reconstructed images for different equivalent measurement durations.

TABLE 3. J_{chamber} and J_{wall} of reconstructed images obtained using SIRT-TV under different equivalent measurement durations.

Time (day)	J_{chamber}	J_{wall}
7	0.2615	0.2050
14	0.4514	0.2465
30	0.5123	0.3383
90	0.5525	0.4994
180	0.5929	0.5498

618 days and 180 days are quite similar, with J_{chamber} and J_{wall}
619 both $\gtrapprox 0.5$, as can be seen in Table 3.

V. CONCLUSION

620 This paper conducts simulation research on 3D image
621 reconstruction of muon tomography for a QinShiHuang tomb
622 phantom using the SIRT-TV algorithm. The reconstructed
623

624 image quality is evaluated based on the geometric similarity
625 of structures. The results show that, compared to the
626 SIRT algorithm, the SIRT-TV algorithm can effectively sup-
627 press salt-and-pepper artifacts. It improves the geometric
628 similarity J_{chamber} by nearly one-third and J_{wall} by nearly
629 twofold, and it converges faster. This suggests that SIRT-
630 TV algorithm is more suitable for 3D image reconstruction
631 of large-scale cultural heritage muon tomography. Using this
632 algorithm with simulated 12-view cosmic-ray muon data, lo-
633 cations and shapes of the tomb chamber and walls are re-
634 constructed. This study also investigated the impact of TV-
635 minimization algorithm parameters on the reconstructed im-
636 age quality by varying the maximum iteration number N_{TV}
637 and the TV gradient descent step size α . The results indi-
638 cate that, although the optimal TV parameters for walls recon-
639 struction differ from those for tomb chamber reconstruction,
640 selecting parameters within a reasonable range can achieve
641 high-quality reconstructions. Considering the potential con-
642 straints in experiments, this paper also discusses the impact
643 of measurement configurations and durations on the recon-
644 struction results. The results show that reducing the number
645 of views from 12 to 4 leads to a decrease in J . Among the
646 three different 4-view configurations, the J values are highest
647 when the detectors are positioned along the symmetry axes of
648 the ROI. For the 12-view configuration, the J values steadily
649 increase with the equivalent measurement duration, and both
650 J_{chamber} and J_{wall} $\gtrapprox 0.5$ after an equivalent measurement du-
651 ration of 90 days. These findings can provide guidance for se-
652 lecting measurement configurations and durations for future
653 experiments.

654 The reconstruction quality of SIRT-TV is substantially im-
655 proved compared to SIRT, still, there is room for further en-
656 hancement: the two walls with different densities in the re-
657 constructed image remain indistinguishable, and the geomet-
658 ric similarity J can also be improved. Future work could
659 further enhance image quality, for example, by utilizing ma-
660 chine learning techniques. By simulating muon data from the
661 QinShiHuang tomb phantom under varying viewpoints and
662 equivalent measurement durations, the reconstructed images
663 generated by the SIRT-TV algorithm can be used as inputs
664 to train machine learning models, enabling further improve-
665 ments in image quality.

666 While the QinShiHuang tomb phantom established in this
667 study is a simplified phantom, it retains the essential struc-
668 tural features of the underground palace. As one of the most
669 well-known imperial tombs in China, the structure of the Qin-
670 ShiHuang tomb is likely representative. Transmission muog-
671 raphy simulation based on this tomb phantom would also pro-
672 vide insights for potential transmission muography experi-
673 ments on other imperial tombs.

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